

Office Open XML

Ecma TC45

Final Draft

Part 5: Markup Compatibility and Extensibility

October 2006

Table of Contents

1		
2	Foreword	iv
3	1. Scope	1
4	2. Normative References	2
5	3. Definitions	3
6	4. Notational Conventions	5
7	5. Acronyms and Abbreviations	6
8	6. General Description	7
9	7. Overview	8
10	8. Markup Compatibility Fundamentals	9
11	8.1 Terminology	9
12	8.2 Markup Compatibility Namespace.....	10
13	9. Markup Compatibility Attributes and Elements	11
14	9.1 Compatibility-Rule Attributes	12
15	9.1.1 Ignorable Attribute	13
16	9.1.2 ProcessContent Attribute	15
17	9.1.3 PreserveElements and PreserveAttributes Attributes.....	16
18	9.1.4 MustUnderstand Attribute	18
19	9.2 Alternate-Content Elements	19
20	9.2.1 AlternateContent Element.....	20
21	9.2.2 Choice Element	21
22	9.2.3 Fallback Element	21
23	9.2.4 Alternate-Content Examples.....	22
24	10. Namespace Subsumption	24
25	10.1 The Subsumption Process	24
26	10.2 Special Considerations for Attributes	24
27	11. Application-Defined Extension Elements	26
28	12. Preprocessing Model for Markup Consumption	28
29	Annex A. Validation Using NVDL	33
30	A.1 Validation Against Requirements of this Part.....	33
31	A.2 Validation Against the Combination of Office Open XML and Extensions	34
32	Annex B. Bibliography	38
33	Annex C. Index	39
34		

1 Foreword

2 This multi-part Standard deals with Office Open XML Format-related technology, and consists of the following
3 parts:

- 4 • Part 1: "Fundamentals"
- 5 • Part 2: "Open Packaging Conventions"
- 6 • Part 3: "Primer"
- 7 • Part 4: "Markup Language Reference"
- 8 • **Part 5: "Markup Compatibility and Extensibility" (this document)**

1. Scope

2 This Part (the *Markup Compatibility and Extensibility specification*) describes a set of conventions that are used
3 by Office Open XML documents that facilitate future enhancement and extension of Office Open XML
4 documents, while providing a baseline for interoperability.

5 In all subsequent uses within this document, the term "this specification" shall refer to the content of this Part.

1 2. Normative References

2 The following normative documents contain provisions, which, through reference in this text, constitute
3 provisions of this specification. For dated references, subsequent amendments to, or revisions of, any of these
4 publications do not apply. However, parties to agreements based on this specification are encouraged to
5 investigate the possibility of applying the most recent editions of the normative documents indicated below.
6 For undated references, the latest edition of the normative document referred to applies. Members of ISO and
7 IEC maintain registers of currently valid International Standards.

8 ISO/IEC 2382.1:1993, *Information technology — Vocabulary — Part 1: Fundamental terms*.

9 ISO/IEC 10646:2003 (all parts), *Information technology — Universal Multiple-Octet Coded Character Set (UCS)*.

3. Definitions

For the purposes of this specification, the following definitions apply. Other terms are defined where they appear in *italics* type. Terms explicitly defined in this specification are not to be presumed to refer implicitly to similar terms defined elsewhere. [Note: This part uses OPC-related terms, which are defined in Part 2: "Open Packaging Conventions". *end note*]

Throughout this specification, the terms *namespace declaration*, *namespace name*, *qualified name*, *expanded name*, *prefixed name*, *unprefixed name*, and *local name* shall have the meanings as defined in the W3C Recommendation, "Namespaces in XML 1.0 (Second Edition)."

alternate content — A set of markup alternatives, of which no more than one shall be processed by a markup consumer. A markup consumer chooses from among the alternatives based upon its set of understood namespaces.

compatibility-rule attribute — An XML attribute described in this specification that expresses rules governing markup consumers' behavior when encountering XML elements and attributes from non-understood namespaces.

ignore — To disregard the presence of an element or attribute, processing the markup as if that element or attribute did not exist.

markup consumer — A tool that can read and parse a markup document and further conforms to the requirements of a markup specification.

markup document — An XML document that conforms to the requirements of a markup specification.

markup editor — A tool that acts as a markup consumer in reading a markup document, makes changes to that markup, and acts as the producer of the modified markup.

markup preprocessor — A software module, designed for use in the implementation of markup consumers, that follows the rules of this Markup Compatibility and Extensibility specification to remove or replace all elements and attributes from the Markup Compatibility namespace, all elements and attributes from ignorable non-understood namespaces, and all elements and attributes from subsumed namespaces..

markup producer — A tool that can generate a markup document, and conforms to a markup specification.

markup specification — An XML-based markup format specification that incorporates all of the requirement of this Part.

namespace, ignorable — A namespace, identified in markup, whose elements and attributes shall be ignored by a markup consumer that does not understand that namespace.

- 1 **namespace, understood** — An XML namespace containing any recognized XML elements or attributes.
- 2 **preserve** —To retain an ignored element or attribute during the course of editing.
- 3 **recognize** — To have knowledge of the correct interpretation of an XML element, XML attribute, or attribute-
- 4 value, as defined in a markup specification.

1 4. Notational Conventions

2 The following typographical conventions are used in this Standard:

- 3 1. The first occurrence of a new term is written in italics. [*Example: ... is considered normative. end*
4 *example*]
- 5 2. A term defined as a basic definition is written in bold. [*Example: **behavior** — External ... end example*]
- 6 3. The name of an XML element is written using an Element style. [*Example: The root element is*
7 *document. end example*]
- 8 4. The name of an XML element attribute is written using an Attribute style. [*Example: ... an id attribute.*
9 *end example*]
- 10 5. An XML element attribute value is written using a constant-width style. [*Example: ... value of*
11 *CommentReference. end example*]
- 12 6. An XML element type name is written using a Type style. [*Example: ... as values of the xsd:anyURI data*
13 *type. end example*]

1 5. Acronyms and Abbreviations

2 **This clause is informative**

3 The following acronyms and abbreviations are used throughout this specification

4 IEC — the International Electrotechnical Commission

5 ISO — the International Organization for Standardization

6 W3C — World Wide Web Consortium

7 **End of informative text**

1 6. General Description

2 This specification is intended for use by implementers, academics, and application programmers. As such, it
3 contains a considerable amount of explanatory material that, strictly speaking, is not necessary in a formal
4 specification.

5 This specification is divided into the following subdivisions:

- 6 1. Front matter (clauses 1–7);
- 7 2. Overview and introductory material (clause 8);
- 8 3. Main body (clauses 9–13);
- 9 4. Annexes

10 Examples are provided to illustrate possible forms of the constructions described. References are used to refer
11 to related clauses. Notes are provided to give advice or guidance to implementers or programmers.

12 The following clauses form the normative pieces of this specification:

- 13 • Clauses 1–4, 6, and 8–11

14 The following clauses form the informative pieces of this specification:

- 15 • Introduction
- 16 • Clause 5, 7, and 12
- 17 • All annexes
- 18 • All notes and examples

19 Whole clauses that are informative are identified as such. Informative text that is contained within normative
20 text is identified as either an example or note, as specified in §4.

1 7. Overview

2 **This clause is informative**

3 This Part describes a set of XML elements and attributes that collectively enable producers to explicitly guide
4 consumers in their handling of any XML elements and attributes not understood by the consumer.

5 These elements and attributes enable the creation of future versions of and extensions to this Standard, while
6 enabling desirable compatibility characteristics:

- 7 • A markup producer can produce markup documents that exploit new features defined by versions and
8 extensions, yet remain interoperable with markup consumers that are unaware of those versions and
9 extensions.
- 10 • For any such markup document, a markup consumer whose implementation is aware of the exploited
11 versions and extensions will deliver functionality that is enhanced by the markup document's use of
12 those versions and extensions.
- 13 • For any such markup document, the markup producer can enable and precisely control graceful
14 degradation that will occur when the markup document is processed by a markup consumer that is
15 unaware of the exploited versions and extensions.

16 **End of informative text**

8. Markup Compatibility Fundamentals

8.1 Terminology

Any XML-based document specification can use the markup language described in this Part as the basis of its compatibility with previous and future specification revisions and to enable the creation of independent extensions of its specification. In this specification, the term *markup specification* is used to refer to a specification that relies on this Office Open XML Markup Compatibility and Extensibility Part and defines a set of XML namespaces, the elements and attributes within those namespaces, and any processing requirements for those namespaces, elements, and attributes. *Markup document* refers to an XML document that conforms to a markup specification. A *markup producer* is a software application or component that generates a markup document. A *markup consumer* is a software application or component that can process a markup document according to the processing requirements of the markup specification.

This specification is dependent on XML namespace names, expressed as URIs. A markup specification defines a set of elements and attributes within one or more namespaces. A characteristic of a markup consumer is that it can *recognize* or process the elements and attributes within *understood namespaces*, including those containing elements and attributes defined in the markup specification. Markup consumers shall process all recognized elements and attributes of any understood namespace according to the requirements of the markup specifications defining those elements or attributes. A markup specification might require that the presence of unrecognized elements or attributes in an understood namespace be treated as an error condition; however, markup consumers shall always treat the presence of an unrecognized element or attribute from the Markup Compatibility namespace as an error condition. If a markup consumer encounters an element or attribute from a non-understood namespace, the markup consumer shall treat the presence of that element or attribute as an error condition, unless the markup producer has embedded in the markup document explicit Markup Compatibility elements or attributes that override that behavior.

Within a markup document, a markup producer might use Markup Compatibility attributes to identify *ignorable namespaces*. Markup consumers shall *ignore* elements and attributes from namespaces that are both non-understood and ignorable, and shall not treat their presence as errors. A markup producer can indicate to the markup consumer whether the content of an ignored element shall be disregarded together with the ignored element, or if the content should be processed as if it were the content of the ignored element's parent.

Within a markup docume

nt, a markup producer might also use Markup Compatibility attributes to suggest to a markup editor that the editor attempt to *preserve* some ignored elements or attributes. The markup editor can attempt to persist these ignored elements and attributes when a saving markup document, despite the editor's inability to recognize the purpose of these ignored elements and attributes.

1 A markup producer, aware of the existence of markup consumers with overlapping but different sets of
2 understood namespaces, might choose to include in a markup document *alternate content* regions, each
3 holding a set of markup alternatives for use by different markup consumers. A markup consumer shall use
4 rules embedded in the markup document by the markup producer to select no more than one of these
5 alternatives for normal processing, and shall disregard all other alternatives.

6 Future versions of markup specifications shall specify new namespaces for any markup that is enhanced or
7 modified by the new version, which a markup consumer of that version of the markup specification would
8 include as an understood namespace. Some of the namespaces introduced in the new markup specification
9 might each subsume one of the previous version's understood namespaces. A new understood namespace
10 *subsumes* a previously-understood namespace if it includes all of the elements, attributes, and attribute values
11 of the previously-understood namespace. Regardless of whether a new namespace subsumes a previously
12 defined namespace, markup consumers based on a new version of a markup specification shall support all
13 understood namespaces of the previous version unless the new version makes an explicit statement to the
14 contrary.

15 This specification can be implemented using a pipelined, preprocessing architecture in the form of a software
16 module called a *markup preprocessor*. A markup preprocessor can use the Markup Compatibility elements and
17 attributes to produce output that is free of all ignorable non-understood content, all Markup Compatibility
18 elements and attributes, and all elements and attributes in subsumed namespaces.

19 Markup consumers should report errors when processing non-conforming documents.

20 **8.2 Markup Compatibility Namespace**

21 The following is the Markup Compatibility namespace:

22 `http://schemas.openxmlformats.org/markup-compatibility/2006`

23 The namespace includes XML elements and attributes that markup producers can use to express to markup
24 consumers how they shall respond to markup in non-understood namespaces. The elements and attributes
25 described in this specification are contained in the Markup Compatibility namespace.

9. Markup Compatibility Attributes and Elements

This specification defines attributes to express compatibility rules and elements to specify alternate content.

[*Note:* Whitespace characters that can appear in attribute values, as defined in the XML specification, are described in the following table:

Table 9–1. Whitespace characters in attribute values

Character	Syntax
space	#x20
tab	#x9
line feed	#xA
carriage return	#xD

end note]

Whitespace characters that appear in values of attributes defined in this specification shall be normalized by markup consumers before processing as follows:

1. Replace each tab, line feed, and carriage return with a space.
2. Collapse contiguous sequences of spaces into a single space.
3. Remove leading and trailing spaces.

[*Note:* The following table, and Table 9–3, summarize the Markup Compatibility attributes and elements, respectively, and are further described in the sub-clauses that follow.

Table 9–2. Compatibility-rule attributes

Name	Description
Ignorable	A whitespace-delimited list of namespace prefixes that identify a set of namespaces whose elements and attributes should be silently ignored by markup consumers that do not understand the namespace of the element or attribute in question.
ProcessContent	A whitespace-delimited list of element-qualified names identifying the expanded names of elements whose content shall be processed, even if the elements themselves are ignored. In any qualified name in the list, the wildcard character “*” can replace the local name to indicate that the content of all elements in the namespace shall be processed.

Name	Description
PreserveElements	A whitespace-delimited list of element qualified names identifying the expanded names of elements that a markup producer suggests for preservation by markup editors, even if the elements themselves are ignored. In any qualified name in the list, the wildcard character "*" can replace the local name to indicate that all elements in the namespace should be preserved.
PreserveAttributes	A whitespace-delimited list of attribute qualified names identifying the expanded names of attributes that a markup producer suggests for preservation by markup editors. In any qualified name in the list, the wildcard character "*" can replace the local name to indicate that all attributes in the namespace should be preserved.
MustUnderstand	A whitespace-delimited list of namespace prefixes identifying a set of namespace names. Markup consumers that do not understand these namespaces shall not continue to process the markup document and shall generate an error.

1

2 Table 9–3. Alternate-content elements

Name	Description
AlternateContent	Associates a set of possible markup alternatives that a markup consumer might choose based on that markup consumer's understood namespaces. The markup consumer chooses the first alternative, in markup order, requiring only namespaces it understands.
Choice	This child of AlternateContent contains a single markup alternative and identifies the namespaces that the markup consumer needs to understand in order to choose and process that alternative. At least one Choice element is required.
Fallback	This child of AlternateContent specifies the fallback markup alternative a markup consumer chooses if the markup consumer cannot choose any Choice alternative. An AlternateContent element shall hold no more than one Fallback element, which if present, shall follow all Choice elements.

3 *end note]*

4 9.1 Compatibility-Rule Attributes

5 This specification describes the manner by which compatibility rules can be associated with any XML element,
6 including Markup Compatibility elements. Compatibility rules are associated with an element by means of
7 compatibility-rule attributes. These attributes control how markup consumers, including markup editors, shall
8 react to elements or attributes from non-understood namespaces.

9 The principal compatibility-rule attribute is the Ignorable attribute. By default, markup consumers should
10 treat the presence of any element or attribute from a non-understood namespace as an error condition.
11 However, elements and attributes from a non-understood namespace identified in an Ignorable attribute shall
12 be ignored without error.

1 Compatibility-rule attributes shall affect the element to which they are attached, including the element's other
2 attributes and contents. The order in which compatibility-rule attributes occur on an element shall not affect
3 the application of those rules to that element, its attributes, or its contents.

4 **9.1.1 Ignorable Attribute**

5 The Ignorable attribute value contains a whitespace-delimited list of namespace prefixes, where each
6 namespace prefix identifies an ignorable namespace. During processing, if a markup consumer encounters an
7 element or attribute in a non-understood and ignorable namespace, the markup consumer shall treat that
8 element or attribute as if it did not exist and shall not generate an error.

9 Markup consumers should treat elements and attributes from non-ignorable and non-understood namespaces
10 as errors.

11 [*Note:* By default, an ignored element is ignored in its entirety, including its attributes and its content. The
12 processing of an ignored element's contents is enabled through the use of the ProcessContents attribute. The
13 PreserveAttributes and PreserveElements attributes can be used to assist markup editors in preserving
14 ignored elements and ignored attributes. *end note*]

15 If an Ignorable attribute references an understood namespace, its presence shall not affect the processing of
16 elements and attributes from the understood namespace, regardless of whether or not those elements and
17 attributes are recognized by the markup consumer.

18 The presence of an Ignorable attribute shall reset a markup consumer's content-processing and preservation
19 behavior for all elements and attributes in the namespaces referenced by the Ignorable attribute value. Once
20 reset, by default the markup consumer shall ignore all content contained by the ignored element and markup
21 editors shall not preserve ignored attributes and elements. This default behavior shall be overridden by the
22 presence of any ProcessContent, PreserveAttributes, and PreserveElements attributes on the element with
23 the Ignorable attribute.

24 The value of the Ignorable attribute can be an empty or blank string. When a markup consumer encounters
25 such a value, it shall proceed as if the Ignorable attribute was not present.

26 [*Example:* Example 9–1. Processing Ignorable and PreserveAttributes attributes

27 The example namespace with the name `http://schemas.openxmlformats.org/Circles/v1` defines a
28 Version 1 element, `Circle`, in its initial version. The subsequent Version 2 of the markup specification
29 introduces the `Opacity` attribute in a new Version 2 namespace. The subsequent Version 3 of the markup
30 specification introduces the `Luminance` attribute in a Version 3 namespace. The markup is loadable by markup
31 consumers conforming to any one of these markup specification versions. The `PreserveAttributes` attribute
32 specifies that the `v3:Luminance` attribute should be preserved during editing, even when the markup editor
33 does not understand the `v3:Luminance` attribute.

34 For a Version 1 markup consumer, `Opacity` and `Luminance` are ignored attributes.

35 For a Version 2 markup consumer, only `Luminance` is an ignored attribute.

1 For a Version 3 markup consumer and beyond, none of the attributes are ignored.

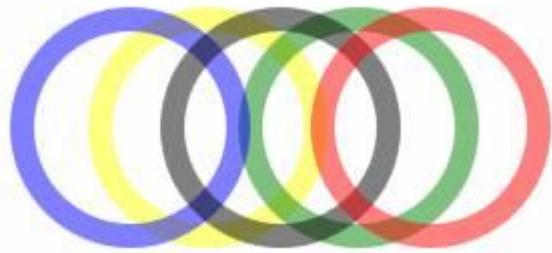
```

2 <Circles xmlns="http://schemas.openxmlformats.org/Circles/v1"
3   xmlns:mc="http://schemas.openxmlformats.org/markup-
4   compatibility/2006"
5   xmlns:v2="http://schemas.openxmlformats.org/Circles/v2"
6   xmlns:v3="http://schemas.openxmlformats.org/Circles/v3"
7   mc:Ignorable="v2 v3"
8   mc:PreserveAttributes="v3:Luminance">
9   <Circle Center="0,0" Radius="20" Color="Blue"
10     v2:Opacity="0.5" v3:Luminance="13" />
11   <Circle Center="25,0" Radius="20" Color="Black"
12     v2:Opacity="0.5" v3:Luminance="13" />
13   <Circle Center="50,0" Radius="20" Color="Red"
14     v2:Opacity="0.5" v3:Luminance="13" />
15   <Circle Center="13,0" Radius="20" Color="Yellow"
16     v2:Opacity="0.5" v3:Luminance="13" />
17   <Circle Center="38,0" Radius="20" Color="Green"
18     v2:Opacity="0.5" v3:Luminance="13" />
19 </Circles>

```

20 The following figure shows an example possible rendering of the markup above.

21 Figure 9–1. Rings



22

23 *end example]*

24 [*Example:* Example 9–2. Processing Ignorable content using namespaces

25 A markup consumer that does not understand the namespace with the name

26 `http://schemas.openxmlformats.org/MyExtension/v1` shall ignore both the `a:IgnoreMe` and

27 `b:IgnoreMeToo` elements. Although the two elements use different namespace prefixes, they draw from the

28 same ignorable namespace.

```

1   <Circles
2     xmlns="http://schemas.openxmlformats.org/Circles/v1"
3     xmlns:mc="http://schemas.openxmlformats.org/markup-
4     compatibility/2006"
5     xmlns:a="http://schemas.openxmlformats.org/MyExtension/v1"
6     xmlns:b="http://schemas.openxmlformats.org/MyExtension/v1"
7     mc:Ignorable="a">
8     <a:IgnoreMe />
9     <b:IgnoreMeToo />
10  </Circles>

```

11 *end example]*

12 9.1.2 ProcessContent Attribute

13 The ProcessContent attribute value contains a whitespace-delimited list of element-qualified names
14 identifying the expanded names of elements whose content shall be processed, even if the elements
15 themselves are ignored. In any qualified name in the list, the wildcard character "*" can replace the local name
16 to indicate that the content all elements in the namespace shall be processed.

17 A markup consumer that encounters an ignored element whose expanded name matches the expanded name
18 of an element identified in the ProcessContent attribute value, the markup consumer shall consider that
19 element to be a processed element, regardless of whether or not the element's qualified name matches the
20 qualified name specified in the ProcessContent attribute value.

21 A markup consumer that encounters a processed element shall process the contents of that element as if the
22 contents were directly embedded within the parent element of the ignored element.

23 The ProcessContent attribute value shall not reference any element name that does not belong to a
24 namespace that is identified by the Ignorable attribute of the same element.

25 The value of the ProcessContent attribute can be an empty or blank string. When a markup consumer
26 encounters such a value, it shall proceed as if the ProcessContent attribute was not provided.

27 Markup producers shall not generate an element that has an xml:lang or xml:space attribute if that element is
28 identified by a ProcessContent attribute value. Markup consumers that ignore an element that has an
29 xml:lang or xml:space attribute and is also identified by a ProcessContent attribute value shall generate an
30 error. Markup consumers that encounter a non-ignored element that has an xml:lang or xml:space attribute
31 and is also identified by a ProcessContent attribute value might generate an error.

32 *[Example: Example 9–3. Processing Ignorable and ProcessContent attributes*

33 A Version 1 markup consumer ignores the blue, black, and red circles, but does render the yellow and green
34 circles.

```

1 <Circles
2   xmlns="http://schemas.openxmlformats.org/Circles/v1"
3   xmlns:mc="http://schemas.openxmlformats.org/markup-
4   compatibility/2006"
5   xmlns:v2="http://schemas.openxmlformats.org/Circles/v2"
6   mc:Ignorable="v2"
7   mc:ProcessContent="v2:Blink" >
8   <v2:Watermark Opacity="v0.1">
9     <Circle Center="0,0" Radius="20" Color="Blue" />
10    <Circle Center="25,0" Radius="20" Color="Black" />
11    <Circle Center="50,0" Radius="20" Color="Red" />
12  </v2:Watermark>
13  <v2:Blink>
14    <Circle Center="13,0" Radius="20" Color="Yellow" />
15    <Circle Center="38,0" Radius="20" Color="Green" />
16  </v2:Blink>
17 </Circles>

```

18 The Version 1 markup consumer, unaware of Version 2 markup, renders the above markup as if it had
 19 processed the following markup:

```

20 <Circles
21   xmlns="http://schemas.openxmlformats.org/Circles/v1" >
22   <Circle Center="13,0" Radius="20" Color="Yellow" />
23   <Circle Center="38,0" Radius="20" Color="Green" />
24 </Circles>

```

25 *end example]*

26 9.1.3 PreserveElements and PreserveAttributes Attributes

27 The Ignorable attribute presents a challenge unique to markup editors in deciding when and how to *preserve*
 28 ignored markup in the face of modification and when to discard ignored markup. In the absence of explicit
 29 knowledge of the specification governing the unrecognized ignored markup, it is difficult for a markup editor
 30 to preserve ignored markup while simultaneously maintaining document conformance with whatever
 31 specification governs that markup. Similarly, it is difficult for the markup editor to avoid undesired change to
 32 the edited document's semantics as they would be interpreted by a markup consumer whose implementation
 33 is based on the specification governing the preserved markup.

34 A markup editor might use the presence of PreserveElements and PreserveAttributes attributes as hints for
 35 deciding what ignored elements and attributes to preserve when markup is modified. The markup editor's
 36 specific interpretation of those hints shall be governed by the markup specification or specifications that
 37 formed the basis for the markup editor's implementation.

1 Markup specifications should specify conditions under which markup editors should preserve ignored markup.
2 Markup specifications should define the widest possible set of conditions under which markup editors should
3 preserve ignored markup. [*Note*: If preservation conditions are too widely defined, future versions and
4 extensions will be over constrained in what new semantics they can introduce. *end note*]

5 If a markup specification lacks such guidance, markup editors for markup documents governed by that markup
6 specification should be conservative in their preservation behavior Before preserving any ignored markup,
7 markup editors should attempt to establish confidence that the preserved markup will be acceptable to, and
8 interpretable with acceptable semantics by, all imaginable markup consumers that understand future versions
9 of extensions. [*Note*: Such confidence could be established by deep understanding of the base specification.
10 *end note*]

11 Even in the presence of explicit preservation guidance in a markup specification, any markup editor might
12 choose to discard together all ignored markup without regard to the presence of any PreserveElements or
13 PreserveAttributes attribute. [*Note*: The explicit presence of the Ignorable attribute indicates that discarding
14 such markup before editing produces a document that conforms to relevant specifications and is self-sufficient
15 in its semantic interpretation. *end note*]

16 A markup specification might define conditions calling for the preservation of some ignored elements or
17 attributes without requiring the presence of any PreserveElements or PreserveAttributes attribute.

18 A markup specification might restrict preservation of elements identified by the PreserveElements attribute
19 to those elements that are descendants of particular elements. Likewise, a markup specification might restrict
20 the set of elements whose ignored attributes can be preserved, as identified by the PreserveAttributes
21 element, to those that are descendants of particular elements. Regardless of any such restrictions, markup
22 consumers shall always accept, but possibly disregard, PreserveElements and PreserveAttributes attributes
23 on any element.

24 9.1.3.1 PreserveElements Attribute

25 The PreserveElements attribute value contains a whitespace-delimited list of element qualified names
26 identifying the expanded names of elements that a markup producer suggests for preservation by markup
27 editors, even if the elements themselves are ignored. In any qualified name in the list, the wildcard
28 character “*” can replace the local name to suggest that all elements in the namespace can be preserved.

29 If a markup consumer encounters an ignored element whose expanded name matches the expanded name of
30 an element identified in the PreserveElements attribute value, the markup consumer shall consider that
31 element to be a candidate for preservation, regardless of whether or not the element’s qualified name
32 matches the qualified name specified in the PreserveElements attribute value.

33 When an element is ignored and preserved, all of its unprefix attributes shall also be preserved along with
34 any preserved attributes identified in a PreserveAttributes attribute value.

35 The PreserveElements attribute value shall not reference any element name that does not belong to a
36 namespace that is identified by the Ignorable attribute of the same element.

1 The value of the PreserveElements attribute can be an empty or blank string. When a markup consumer
2 encounters such a value, it shall proceed as if the PreserveElements attribute was not provided.

3 9.1.3.2 PreserveAttributes Attributes

4 The PreserveAttributes attribute value contains a whitespace-delimited list of attribute qualified names
5 identifying the expanded names of attributes that a markup producer suggests for preservation by markup
6 editors. [*Note: An attribute cannot be preserved if it appears on a non-preserved ignored element. end note*] In
7 any qualified name in the list, the wildcard character "*" can replace the local name to indicate that all
8 attributes in the namespace should be preserved. [*Note: An unprefixed local attribute cannot be identified by
9 a PreserveAttributes attribute value. end note*]

10 If a markup consumer encounters an ignored attribute whose expanded name matches the expanded name of
11 an attribute identified in the PreserveAttributes attribute value, the markup consumer shall consider that
12 attribute to be a candidate for preservation, regardless of whether or not the attribute's qualified name
13 matches the qualified name specified in the PreserveAttributes attribute value.

14 The ProcessAttributes attribute value shall not reference any attribute name that does not belong to a
15 namespace that is identified by the Ignorable attribute of the same element.

16 The value of the PreserveAttributes attribute can be an empty or blank string. When a markup consumer
17 encounters such a value, it shall proceed as if the PreserveAttributes attribute was not provided.

18 9.1.4 MustUnderstand Attribute

19 The MustUnderstand attribute value contains a whitespace-delimited list of namespace prefixes identifying a
20 set of namespace names. A markup consumer that does not understand these identified namespaces shall not
21 continue to process the markup document, regardless of whether the non-understood namespace was
22 identified as an ignorable namespace on an ancestor element. Markup consumers shall generate an error
23 condition if one or more of these identified namespaces is not understood.

24 The value of the MustUnderstand attribute can be an empty or blank string. When a markup consumer
25 encounters such a value, it shall proceed as if the MustUnderstand attribute was not declared.

26 [*Note: §9.2 clarifies the rules for processing the MustUnderstand attribute when it is applied to a Choice or
27 Fallback element, or when it is applied to a descendant element of one of those elements. end note*]

28 [*Example: Example 9–4. Processing an attribute's prefixed qualified name*]

29 The declaration of a Version 2 attribute causes a Version 1 markup consumer to trigger an error when
30 processing the last Circle element.

```
31 <Circles
32   xmlns="http://schemas.openxmlformats.org/Circles/v1"
33   xmlns:mc="http://schemas.openxmlformats.org/markup-
34   compatibility/2006"
35   xmlns:v2="http://schemas.openxmlformats.org/Circles/v2">
```

```

1     <Circle Center="0,0" Radius="20" Color="Blue" />
2     <Circle Center="25,0" Radius="20" Color="Black" />
3     <Circle Center="50,0" Radius="20" Color="Red" />
4     <Circle Center="13,0" Radius="20" Color="Yellow" />
5     <Circle Center="38,0" Radius="20" Color="Green"
6         v2:Opacity="0.5" />
7 </Circles>

```

8 Example 10–5. Processing a MustUnderstand attribute

9 The value of the MustUnderstand attribute causes a Version 1 markup consumer to trigger an error when
10 processing the root Circles element.

```

11 <Circles
12     xmlns="http://schemas.openxmlformats.org/Circles/v1"
13     xmlns:mc="http://schemas.openxmlformats.org/markup-
14     compatibility/2006
15     xmlns:v2="http://schemas.openxmlformats.org/Circles/v2"
16     mc:MustUnderstand="v2">
17     <Circle Center="0,0" Radius="20" Color="Blue" />
18     <Circle Center="25,0" Radius="20" Color="Black" />
19     <Circle Center="50,0" Radius="20" Color="Red" />
20     <Circle Center="13,0" Radius="20" Color="Yellow" />
21     <Circle Center="38,0" Radius="20" Color="Green"
22         v2:Opacity="0.5" />
23 </Circles>

```

24 *end example]*

25 9.2 Alternate-Content Elements

26 [*Note:* Markup producers can generate a markup document that includes multiple markup alternatives, each
27 labeled with the namespaces that needs to be understood by any markup consumer choosing that alternative.
28 A markup consumer shall choose only a single alternative. A particular markup alternative can exploit features
29 introduced in subsequent revisions of the markup specification or in extensions to the markup specification.

30 In some cases, the Ignorable attribute could provide flexibility sufficient for a markup producer to create an
31 acceptable experience to users of a markup consumer that is unaware of any revisions or extensions. In other
32 cases, it could be desirable or necessary for markup producers to provide different markup alternatives, with
33 one alternative processed by markup consumers implemented according to particular revisions or extensions
34 of the markup specification, and others processed by markup consumers implemented according to different
35 revisions or extensions of the markup specification. *end note]*

1 9.2.1 AlternateContent Element

2 The AlternateContent element contains the full set of all possible markup alternatives. Each possible
3 alternative is contained within either a Choice or Fallback child element of the AlternateContent element.

4 An AlternateContent element shall contain one or more Choice child elements, optionally followed by a
5 Fallback child element. If present, there shall be only one Fallback element, and it shall follow all Choice
6 elements. An AlternateContent element shall not be the child of an AlternateContent element.

7 More than one Choice child element might be specified, each identifying the namespaces that a markup
8 consumer needs to understand in order to choose the markup alternative contained within the Choice
9 element. Markup consumers shall rely solely on the namespaces identified by the Choice element rather than
10 the alternate content markup itself in order to decide which content to use.

11 AlternateContent elements might include the attributes Ignorable, MustUnderstand, ProcessContent,
12 PreserveElements, and PreserveAttributes described in this specification. These attributes' qualified names
13 shall be prefixed when associated with an AlternateContent element. A markup consumer shall generate an
14 error if it encounters an unprefixed attribute name associated with an AlternateContent element. [*Note: A*
15 *namespace declaration is not considered to be an unprefixed attribute name. end note*]

16 AlternateContent elements might have ignored attributes or contain ignored child elements. Markup
17 consumers shall not generate an error when encountering such attributes or child elements. However, markup
18 consumers shall generate an error when encountering an attribute or child element of the AlternateContent
19 element that belongs to a namespace that is neither understood nor ignorable. [*Note: In addition to Choice*
20 *and Fallback elements, an ignored element can occur as a child of AlternateContent. end note*]

21 When a markup consumer processes an AlternateContent element, each successive Choice or Fallback
22 element is considered in markup order for selection based on its attributes. If a Choice or Fallback element is
23 not selected for processing, all of the child and descendant elements of that Choice or Fallback element shall
24 be treated as if they did not exist. A markup consumer shall not generate an error based on any
25 MustUnderstand attribute applied to an element contained within the content of the unselected Choice or
26 Fallback element. A markup consumer shall not generate an error based on any markup that is contained
27 within an unselected Choice or Fallback element, even if that markup is not conformant to this specification.

28 In processing an AlternateContent element, the attributes of every child Choice or Fallback element shall be
29 processed and checked for conformance to this specification, regardless of whether the Choice or Fallback
30 element precedes or follows the selected alternative in markup order. If the AlternateContent element's child
31 Choice or Fallback elements include an attribute from a namespace that is not understood and is not
32 ignorable, the markup consumer shall generate an error. Likewise, a markup consumer shall generate an error
33 if it encounters a MustUnderstand attribute included on a Choice or Fallback element that identifies a
34 namespace that it does not understand.

35 The content of the selected Choice or Fallback element is processed as though it replaces the entire
36 AlternateContent element. All namespace declarations and compatibility-rule attributes present on the
37 AlternateContent element or selected Choice or Fallback element shall be processed as though they appeared

1 on every child element of the selected Choice or Fallback element. This logical removal of the parent
2 AlternateContent, Choice, and Fallback elements shall not change the expanded name of any element or
3 attribute contained within the selected Choice or Fallback element. Additionally, this logical removal shall not
4 change the set of ignorable namespaces, or their corresponding preservation and content-processing behavior,
5 when processing the contents of the selected Choice or Fallback element.

6 [*Note*: The AlternateContent element can appear as the root element of a markup document. *end note*]

7 Markup producers shall not generate AlternateContent elements that have the xml:lang or xml:space
8 attributes. Markup consumers shall generate an error if they encounter the xml:lang or xml:space attributes
9 on an AlternateContent element.

10 9.2.2 Choice Element

11 All Choice elements shall have a Requires attribute whose value contains a whitespace-delimited list of
12 namespace prefixes that identify the namespaces that a markup consumer needs to understand to select that
13 Choice and process the content. If the markup consumer does not understand all of the namespaces identified,
14 it shall not select that Choice element. Markup consumers shall select the first Choice element, in markup
15 order, in which all namespaces identified by the Requires attribute are understood.

16 Choice elements can include the attributes Ignorable, MustUnderstand, ProcessContent, PreserveElements,
17 and PreserveAttributes described in this specification. These attributes shall be prefixed when on a Choice
18 element. A markup consumer shall generate an error if it encounters a Choice element having any unprefixed
19 attribute name, with the single exception of the Requires attribute, which shall be unprefixed. [*Note*: A
20 namespace declaration is not considered to be an unprefixed attribute name. *end note*] A markup consumer
21 that encounters a prefixed Requires attribute, when the prefix is associated with the Markup Compatibility
22 namespace, shall generate an error.

23 Choice elements might have ignored attributes. Markup consumers shall not generate an error when
24 encountering such attributes. However, markup consumers shall generate an error when encountering an
25 attribute of the Choice element that belongs to a namespace that is neither understood nor ignorable.

26 Markup producers shall not generate Choice elements that have the xml:lang or xml:space attributes.
27 Markup consumers shall generate an error if they encounter the xml:lang or xml:space attributes on a Choice
28 element, regardless of whether the element is preceded by a selected Choice element.

29 9.2.3 Fallback Element

30 If no Choice element can be selected, markup consumers shall use the content provided in a Fallback
31 element, if present. If no Choice element can be selected and no Fallback element is provided, the document
32 content is processed as if the AlternateContent element were absent.

33 Fallback elements can include the attributes Ignorable, MustUnderstand, ProcessContent,
34 PreserveElements, and PreserveAttributes described in this specification. These attributes shall be prefixed
35 when on a Fallback element. A markup consumer shall generate an error if it encounters a Fallback element

1 having any unprefixed attribute name. [*Note: A namespace declaration is not considered to be an unprefixed*
2 *attribute name. end note]*

3 Fallback elements might have ignored attributes. Markup consumers shall not generate an error when
4 encountering such attributes. However, markup consumers shall generate an error when encountering an
5 attribute of the Fallback element that belongs to a namespace that is neither understood nor ignorable.

6 Markup producers shall not generate Fallback elements that have the `xml:lang` or `xml:space` attributes.
7 Markup consumers shall generate an error if they encounter the `xml:lang` or `xml:space` attributes on a
8 Fallback element, regardless of whether the element is preceded by a selected Choice element.

9 9.2.4 Alternate-Content Examples

10 The following examples illustrate the usage of alternate-content elements.

11 [*Example: Example 9–6. Processing AlternateContent markup*

12 In this example, luminance is expressed as an attribute of a Circle element for markup consumers supporting
13 the Version 3 namespace, identified by the v3 namespace prefix, and as an attribute of a LuminanceFilter
14 element for other markup consumers.

```
15 <Circles
16   xmlns="http://schemas.openxmlformats.org/Circles/v1"
17   xmlns:mc=http://schemas.openxmlformats.org/markup-
18   compatibility/2006
19   xmlns:v2=http://schemas.openxmlformats.org/Circles/v2
20   xmlns:v3=http://schemas.openxmlformats.org/Circles/v3
21   mc:Ignorable="v2 v3">
22   <mc:AlternateContent>
23     <mc:Choice Requires="v3">
24       <v3:Circle Center="0,0" Radius="20" Color="Blue"
25         Opacity="0.5" Luminance="13" />
26       <v3:Circle Center="25,0" Radius="20" Color="Black"
27         Opacity="0.5" Luminance="13" />
28       <v3:Circle Center="50,0" Radius="20" Color="Red"
29         Opacity="0.5" Luminance="13" />
30       <v3:Circle Center="13,0" Radius="20" Color="Yellow"
31         Opacity="0.5" Luminance="13" />
32       <v3:Circle Center="38,0" Radius="20" Color="Green"
33         Opacity="0.5" Luminance="13" />
34     </mc:Choice>
35     <mc:Fallback>
36       <LuminanceFilter Luminance="13">
37         <Circle Center="0,0" Radius="20" Color="Blue"
38           v2:Opacity="0.5" />
```

```

1      <Circle Center="25,0" Radius="20" Color="Black"
2          v2:Opacity="0.5" />
3      <Circle Center="50,0" Radius="20" Color="Red"
4          v2:Opacity="0.5" />
5      <Circle Center="13,0" Radius="20" Color="Yellow"
6          v2:Opacity="0.5" />
7      <Circle Center="38,0" Radius="20" Color="Green"
8          v2:Opacity="0.5" />
9      </LuminanceFilter>
10     </mc:Fallback>
11 </mc:AlternateContent>
12 </Circles>

```

13 *end example]*

14 *[Example: Example 9–7. Processing AlternateContent markup using namespaces*

15 In this example, if the markup consumer understands the metallic-finishes namespace, the contents of the
16 mc:Choice block are used. If it does not, the contents of mc:Fallback are used instead.

```

17 <Circles
18     xmlns="http://schemas.openxmlformats.org/Circles/v1"
19     xmlns:mc="http://schemas.openxmlformats.org/markup-
20     compatibility/2006" >
21     <mc:AlternateContent
22         xmlns:m="http://schemas.openxmlformats.org/metallic-
23         finishes/v1">
24         <mc:Choice Requires="m">
25             <Circle m:Finish="GoldLeaf" Center="100,100" Radius="50"
26                 />
27         </mc:Choice>
28         <mc:Fallback>
29             <Circle Fill="Gold" Center="100,100" Radius="50" />
30         </mc:Fallback>
31     </mc:AlternateContent>
32 </Circles>

```

33 *end example]*

10. Namespace Subsumption

10.1 The Subsumption Process

A namespace *subsumes* another namespace if it includes all of the elements, attributes, and attribute values of the subsumed namespace.

A markup specification that defines a subsuming namespace shall require that any instance of the following constructs that would be recognized in the subsumed namespace shall also be recognized and interpreted identically in the subsuming namespace.

- Element local names
- Unprefixed attribute names of elements
- Prefixed attribute names of elements
- Attribute values
- Element contents

Subsumption is the process by which a markup consumer recognizes and interprets elements, attributes, and attribute values in a subsumed namespace as if they occurred in the subsuming namespace.

When performing subsumption, markup consumers might confirm that the markup using the old namespace is compatible with the specification of the old namespace

Any markup specification that relies on the Markup Compatibility namespace should define a namespace naming convention for use by future versions of that specification when those future versions introduce new namespaces that subsume older namespaces.

When markup consumers encounter a non-understood namespace name, they might examine it for a naming convention that suggests that an understood namespace is being subsumed. The suggestion of a subsumption relationship shall not suppress error processing triggered by the non-understood namespace name. If error processing is triggered, markup consumers might use the suggested subsumption relationship to choose the most appropriate error message or error code. [*Example*: One error message might encourage upgrading to a newer application version, while another might merely highlight the non-understood namespace name. *end example*]

10.2 Special Considerations for Attributes

§6.3 of the W3C Recommendation “Namespaces in XML 1.0 (Second Edition)” makes a distinction between prefixed attributes and unprefixed attributes sharing the same local name. Given an element whose expanded name refers to namespace *N*, an unprefixed attribute with local name *L* is distinct from a prefixed attribute with local name *L* and namespace *N*. The existence of this distinction is important in defining correct subsumption behavior for markup consumers.

1 A subsuming namespace might extend a pre-existing element local name with a new unprefixed attribute.
2 Similarly, a subsuming namespace might create a new attribute designed for prefixed use.

3 [Note: The statements above introduce a potential ambiguity in defining the correct behavior of a markup
4 consumer performing subsumption.

5 Assume that the namespace associated with prefix v2 subsumes the namespace associated with v1. Suppose a
6 markup consumer that understands the v2 namespace encounters markup of the following form:

```
7 <v1:OldElement mc:Ignorable="v2" v2:NewAttribute="value" />
```

8 How should that markup consumer interpret that markup? Should it be considered equivalent to the following
9 markup?

```
10 <v2:OldElement NewAttribute="value" />
```

11 Or should it be considered equivalent to the following markup?

```
12 <v2:OldElement v2:NewAttribute="value" />
```

13 According to “Namespaces in XML 1.0 (Second Edition)”, these two potential pieces of markup are not
14 equivalent. Additionally, the XML Schema specification allows for the construction of different XSD schemas
15 that validate one, the other, or both of these constructs. *end note*]

16 When processing an element from an older namespace that carries a prefixed attribute from a newer,
17 subsuming namespace, a markup consumer shall decide whether to treat the new attribute as if its expanded
18 name refers to the new namespace or as if its expanded name refers to no namespace. If a subsuming
19 namespace adds a new attribute or permissible attribute value to an element that was present in the
20 subsumed namespace, the markup specification that defines the subsuming namespace shall state which of
21 the two subsumption behaviors shall be used by markup consumers and assumed by markup producers.

22 [Note: Example 13-1 illustrates how a markup preprocessor handles each of the two possible behaviors. *end*
23 *note*]

24 In order to support a preprocessing model for Markup Compatibility elements and attributes, specifications
25 should restrict the use of any combination of prefixed and unprefixed attributes with the same local name.

26 A namespace should not be subsumed by a newer namespace if the older namespace includes both a prefixed
27 attribute and an unprefixed attribute sharing its local name but having a different type or different semantics.

28 A subsuming namespace should not include both a prefixed attribute and an unprefixed attribute sharing its
29 local name but having a different type or different semantics.

11. Application-Defined Extension Elements

A markup specification using Markup Compatibility elements and attributes might define one or more specific extension elements in the namespaces it defines. *Extension elements* suspend Markup Compatibility processing within their content. Except as noted below, within the content of an extension element, markup consumers shall not treat elements and attributes from non-understood namespaces as Markup Compatibility errors. Similarly, under the same conditions, markup consumers shall disregard elements and attributes from the Markup Compatibility namespace.

A specification for an element nested somewhere within an extension-element might require a markup consumer to re-establish Markup Compatibility processing. Within the scope of such a nested element and its content, a markup consumer shall disregard all Markup Compatibility attributes that were encountered on elements outside of the element that re-establishes Markup Compatibility processing. Within the scope of such a nested element, a markup consumer might understand a set of namespaces that is different from the set of namespaces understood at the point in the markup where the extension element was encountered.

The following examples illustrate two uses of application-defined extension elements:

[*Example*: Example 11–1. An application-defined XML island

An extension element can be used to introduce an “island” of unprocessed XML whose markup is otherwise unconstrained by the application's specification. The specification of the island element can further require preservation of the contents of the island by markup processors, without requiring the use of the PreserveElements and PreserveAttributes Markup Compatibility attributes. *end example*]

[*Example*: Example 11–2. An application-defined add-in element

Some markup specifications and markup consumers can use an extension element to implement an add-in model. In an add-in model, the specification for the contents of the extension element is separate from the specification for the extension element itself.

The specification for some particular nested content can include support for Markup Compatibility elements and attributes, while the specification for other nested content could omit such support. If the specification for the nested content does include support for Markup Compatibility elements and attributes, the Markup Compatibility processing state is reset temporarily for processing of the nested content. Any Ignorable attribute-value associated with an extension element or any of its ancestor elements is “forgotten” during the processing of content nested within that extension element. In an add-in model the set of namespaces assumed to be understood when processing descendant elements of an extension element is completely unrelated to the set of understood namespaces when that extension element itself is processed.

1 In this example, the "Circles" specification includes an extension AddIn element, allowing for nested markup
 2 to be handled by a markup consumer that does not process Circle markup. The specification for the nested
 3 "TextFlow" markup does not provide for the processing of Markup Compatibility elements and attributes.

```

4 <Circles
5   xmlns="http://schemas.openxmlformats.org/Circles/v1"
6   xmlns:mc=http://schemas.openxmlformats.org/markup-
7   compatibility/2006
8   xmlns:v2="http://schemas.openxmlformats.org/Circles/v2"
9   mc:Ignorable="v2 ">
10  <Circle Center="0,0" Radius="20" Color="Blue"
11    v2:Opacity="0.5" />
12  <Circle Center="25,0" Radius="20" Color="Black"
13    v2:Opacity="0.5" />
14  <Circle Center="50,0" Radius="20" Color="Red"
15    v2:Opacity="0.5" />
16  <Circle Center="13,0" Radius="20" Color="Yellow"
17    v2:Opacity="0.5" />
18  <Circle Center="38,0" Radius="20" Color="Green"
19    v2:Opacity="0.5" />
20  <AddIn Center="25,10" Radius="10" CodeBase=
21    "http://www.openxmlformats.org/code/TextFlowAddin.jar">
22    <TextFlow
23      xmlns="http://schemas.openxmlformats.org/TextFlow/v1">
24      <!--
25        Because the TextFlow specification does not make use
26        of Markup Compatibility elements and attributes,
27        the TextFlow processor would consider the presence
28        of an mc:Ignorable attribute to be an error condition.
29        Because the TextFlow specification is completely
30        unaware of all versions of the Circles specification,
31        the TextFlow processor would also consider the
32        presence of a Circle or v2:Ellipse element to be an
33        error condition.
34      -->
35      <Paragraph>How are <Bold>you</Bold>?</Paragraph>
36    </TextFlow>
37  </AddIn>
38 </Circles>

```

39 *end example]*

12. Preprocessing Model for Markup Consumption

This clause is informative.

Markup consumers might rely on a preprocessing model to support Markup Compatibility. Such a model can simplify the markup consumer's implementation and allow it to rely on XML schema validation for the preprocessed markup document. Furthermore, a single preprocessing implementation can be shared by markup consumers that target various markup specifications.

A markup preprocessor accepts as input XML markup containing a variety of elements and attributes from the following namespace categories:

- The Markup Compatibility namespace.
- *Current namespaces*: Understood namespaces that have not been subsumed by newer namespaces.
- *Obsolete namespaces*: Understood namespaces known to have been subsumed by newer namespaces).
- Non-understood namespaces.

The markup preprocessor transforms its input markup into output markup that contains elements and attributes drawn only from current and non-understood namespaces. This transformation is disabled for input markup nested within an application-defined extension element. The markup preprocessor accomplishes its transformation using the following rules:

The markup preprocessor checks for the correct usage of all elements and attributes in the Markup Compatibility namespace, and triggers error processing if an element or attribute in that namespace violates the requirements of this Markup Compatibility Part.

The markup preprocessor interprets occurrences of the `MustUnderstand` attribute, and triggers error processing when appropriate.

The markup preprocessor removes all elements and attributes in the Markup Compatibility namespace, removing the contents of unselected `Choice` and `Fallback` elements.

The markup preprocessor removes all elements and attributes in obsolete namespaces, and replaces them with identically named elements and attributes in current namespaces.

Guided by the `Ignorable` attribute, the markup preprocessor removes some elements and attributes in non-understood namespaces, leaving others undisturbed.

1 Guided by the ProcessContent attribute, the markup preprocessor removes all nested content within some
2 removed elements from non-understood namespaces.

3 In addition to producing such transformed output, the markup preprocessor might also implement
4 mechanisms to optionally provide to a markup editor the additional information necessary to preserve some
5 ignored content.

6 *[Note:* The output of the markup preprocessor can be validated against a schema written entirely in terms of
7 current namespaces. Where a schema does not allow for an open attribute or content model, occurrences of
8 elements and attributes from non-understood namespaces in the markup preprocessor's output will trigger
9 validation failures. Where a schema does allow for an open attribute or content model, occurrences of
10 elements and attributes from non-understood namespaces will validate successfully.

11 If a markup preprocessor is used in conjunction with XSD schema validation, the schema should set the value
12 of the elementFormDefault attribute of the root schema element to the value *qualified*. *end note]*

13 *[Example:* Example 12–1. Preprocessing using Markup Compatibility elements and attributes

14 Given the following input document:

```
15 <Circles
16   xmlns="http://schemas.openxmlformats.org/Circles/v1"
17   xmlns:mc="http://schemas.openxmlformats.org/markup-
18   compatibility/2006"
19   xmlns:v2="http://schemas.openxmlformats.org/Circles/v2"
20   xmlns:v3="http://schemas.openxmlformats.org/Circles/v3"
21   mc:Ignorable="v3"
22   mc:ProcessContent="v3:Blink">
23   <mc:AlternateContent>
24     <mc:Choice Requires="v3">
25       <v3:Circle Center="0,0" Radius="20" Color="Blue"
26         Opacity="0.5" Luminance="13" />
27       <v3:Circle Center="25,0" Radius="20" Color="Black"
28         Opacity="0.5" Luminance="13" />
29       <v3:Circle Center="50,0" Radius="20" Color="Red"
30         Opacity="0.5" Luminance="13" />
31       <v3:Circle Center="13,0" Radius="20" Color="Yellow"
32         Opacity="0.5" Luminance="13" />
33       <v3:Circle Center="38,0" Radius="20" Color="Green"
34         Opacity="0.5" Luminance="13" />
35     </mc:Choice>
36     <mc:Fallback>
37       <LuminanceFilter Luminance="13">
38         <Circle Center="0,0" Radius="20" Color="Blue"
39           v2:Opacity="0.5" />
```

```

1      <Circle Center="25,0" Radius="20" Color="Black"
2          v2:Opacity="0.5" />
3      <Circle Center="50,0" Radius="20" Color="Red"
4          v2:Opacity="0.5" />
5      <Circle Center="13,0" Radius="20" Color="Yellow"
6          v2:Opacity="0.5" />
7      <Circle Center="38,0" Radius="20" Color="Green"
8          v2:Opacity="0.5" />
9      </LuminanceFilter>
10     </mc:Fallback>
11     </mc:AlternateContent>
12     <v3:Blink>
13         <Circle Center="13,0" Radius="20" Color="Yellow" />
14         <Circle Center="38,0" Radius="20" Color="Green" />
15     </v3:Blink>
16     <v3:Watermark>
17         <Circle Center="50,0" Radius="20" Color="Red" />
18     </v3:Watermark>
19 </Circles>

```

20 According to §10.2, this markup document's markup specification, when it defines the namespace name
21 <http://schemas.openxmlformats.org/Circles/v2>, shall state the intended subsumption behavior when
22 processing a Version 2 Opacity attribute that is applied to a Circle element from an earlier version. Depending
23 on that statement, a Version 2 markup consumer renders the above markup as if it had processed one of the
24 following pieces of preprocessed markup:

```

25 <v2:Circles
26     v2:xmlns="http://schemas.openxmlformats.org/Circles/v2">
27     <v2:LuminanceFilter Luminance="13">
28         <v2:Circle Center="0,0" Radius="20" Color="Blue"
29             Opacity="0.5" />
30         <v2:Circle Center="25,0" Radius="20" Color="Black"
31             Opacity="0.5" />
32         <v2:Circle Center="50,0" Radius="20" Color="Red"
33             Opacity="0.5" />
34         <v2:Circle Center="13,0" Radius="20" Color="Yellow"
35             Opacity="0.5" />
36         <v2:Circle Center="38,0" Radius="20" Color="Green"
37             Opacity="0.5" />
38     </v2:LuminanceFilter>
39     <v2:Circle Center="13,0" Radius="20" Color="Yellow" />
40     <v2:Circle Center="38,0" Radius="20" Color="Green" />
41 </v2:Circles>

```

1 Or:

```
2 <v2:Circles
3   v2:xmlns="http://schemas.openxmlformats.org/Circles/v2">
4   <v2:LuminanceFilter Luminance="13">
5     <v2:Circle Center="0,0" Radius="20" Color="Blue"
6       v2:Opacity="0.5" />
7     <v2:Circle Center="25,0" Radius="20" Color="Black"
8       v2:Opacity="0.5" />
9     <v2:Circle Center="50,0" Radius="20" Color="Red"
10      v2:Opacity="0.5" />
11     <v2:Circle Center="13,0" Radius="20" Color="Yellow"
12      v2:Opacity="0.5" />
13     <v2:Circle Center="38,0" Radius="20" Color="Green"
14      v2:Opacity="0.5" />
15   </v2:LuminanceFilter>
16   <v2:Circle Center="13,0" Radius="20" Color="Yellow" />
17   <v2:Circle Center="38,0" Radius="20" Color="Green" />
18 </v2:Circles>
```

19 *end example]*

20 **End of informative text.**

Annex A. Validation Using NVDL

This annex is informative.

Namespace-based Validation Dispatching Language (NVDL) allows documents to be decomposed into validation candidates, each of which may be validated independently.

NVDL may be used for validation against the normative requirements of this Part. It may also be used for validation against the combination of Office Open XML documents (including the elements and attributes defined in this Part) and any extensions.

A.1 Validation Against Requirements of this Part

A markup document may satisfy requirements of this Annex without being an Office Open XML document. The following NVDL script examines whether a given document correctly uses the attributes and elements as defined by this Part.

This NVDL script first extracts elements and attributes in the Markup Compatibility namespace, and then validates them against the appropriate RELAX NG schemas.

Note that AlternateContent, Choice and Fallback elements are allowed to have foreign elements and attributes.

```

<?xml version="1.0" encoding="UTF-8"?>
<rules xmlns="http://purl.oclc.org/dsdl/nvdl/ns/structure/1.0">
  <namespace match="attributes" ns="http://schemas.openxmlformats.org/markup-
    compatibility/2006">
    <validate schemaType="application/relax-ng-compact-syntax">
      <schema>
        namespace mc="http://schemas.openxmlformats.org/markup-
          compatibility/2006"
        nsList = list { xsd:NCName* }
        qnameList = list { (xsd:QName | xsd:string {pattern = "\i\c*:\*" })*}
        start = element * {
          attribute mc:Ignorable { nsList }?,
          attribute mc:ProcessContent { qnameList }?,
          attribute mc:PreserveElements { qnameList }?,
          attribute mc:PreserveAttributes { qnameList }?,
          attribute mc:MustUnderstand { nsList }?
        }
      </schema>
    </validate>
  </namespace>
</rules>

```

```

1     </namespace>
2     <namespace match="elements" ns="http://schemas.openxmlformats.org/markup-
3     compatibility/2006">
4         <validate schemaType="application/relax-ng-compact-syntax">
5             <schema>
6                 default namespace = "http://schemas.openxmlformats.org/markup-
7                 compatibility/2006"
8                 nsList = list { xsd:NCName* }
9                 qnameList = list { (xsd:QName | xsd:string {pattern = "\i\c*:\*" })*}
10                start = element AlternateContent {choice+,fallback?}
11                choice = element Choice {attribute Requires { nsList }, text}
12                fallback = element Fallback {text}
13            </schema>
14        </validate>
15    </namespace>
16    <namespace ns="" match="attributes">
17        <attach/>
18    </namespace>
19    <anyNamespace match="elements attributes">
20        <allow/>
21    </anyNamespace>
22 </rules>

```

23 The two RELAX NG schemas embedded in the above NVDL script may be rewritten in the analogous XML
24 Schema form.

25 **A.2 Validation Against the Combination of Office Open XML and Extensions**

26 An extension of Office Open XML specified using the mechanisms defined in this Part may be captured by an
27 NVDL script that invokes the Office Open XML schema and schemas for the extension.

28 The following schema allows two extensions. They have the namespaces
29 <http://www.example.com/myExtensionWithFallback> and
30 <http://www.example.com/myExtensionWithoutFallback>. The first extension is accompanied with a parent
31 AlternateContent element and a sibling Fallback element, while the second one may appear anywhere in the
32 document without AlternateContent or Fallback elements.

```

33     <?xml version="1.0" encoding="UTF-8"?>
34     <rules xmlns="http://purl.oclc.org/dsdl/nvdl/ns/structure/1.0" startMode="top">
35         <mode name="top">
36             <namespace
37                 ns="http://schemas.openxmlformats.org/wordprocessingml/2006/main">
38                 <validate schema="wml.xsd" useMode="nested"/>
39             </namespace>
40         </mode>

```

```

1      <mode name="nested">
2          <namespace match="attributes elements"
3              ns="http://schemas.openxmlformats.org/drawingml/2006/*">
4              <attach/>
5          </namespace>
6          <namespace match="attributes elements"
7              ns="http://schemas.openxmlformats.org/officeDocument/2006/*">
8              <attach/>
9          </namespace>
10         <namespace match="attributes elements"
11             ns="http://schemas.openxmlformats.org/package/2006/*">
12             <attach/>
13         </namespace>
14         <namespace match="attributes elements"
15             ns="http://schemas.openxmlformats.org/presentationml/2006/main">
16             <attach/>
17         </namespace>
18         <namespace match="attributes elements"
19             ns="http://schemas.openxmlformats.org/schemaLibrary/2006/main">
20             <attach/>
21         </namespace>
22         <namespace match="attributes elements"
23             ns="http://schemas.openxmlformats.org/spreadsheetml/2006/7/main">
24             <attach/>
25         </namespace>
26         <namespace match="attributes elements"
27             ns="urn:schemas-microsoft-com:*">
28             <attach/>
29         </namespace>
30         <namespace match="attributes"
31             ns="http://schemas.openxmlformats.org/markup-compatibility/2006">
32             <validate schemaType="application/relax-ng-compact-syntax">
33                 <schema>
34                     namespace mc =
35                         "http://schemas.openxmlformats.org/markup-
36                             compatibility/2006"
37                     nsList = list { xsd:NCName* }
38                     qnameList = list { (xsd:QName | xsd:string {pattern =
39                         "\i\c*:\*" })*)*}
40                     start = element * {
41                         attribute mc:Ignorable { nsList }?,
42                         attribute mc:ProcessContent { qnameList }?,
43                         attribute mc:PreserveElements { qnameList }?,

```

```

1         attribute mc:PreserveAttributes { qnameList }?,
2         attribute mc:MustUnderstand { nsList }?
3     }
4     </schema>
5 </validate>
6 </namespace>
7 <namespace match="elements"
8   ns="http://schemas.openxmlformats.org/markup-compatibility/2006">
9   <validate schemaType="application/relax-ng-compact-syntax">
10    <schema>
11      default namespace =
12      "http://schemas.openxmlformats.org/markup-
13      compatibility/2006"
14      nsList = list { xsd:NCName* }
15      qnameList = list { (xsd:QName | xsd:string {pattern =
16        "\i\c*:\*" })*)}
17      start = element AlternateContent {choice, fallback}
18      choice = element Choice {attribute Requires { nsList },
19        text}
20      fallback = element Fallback {text}
21    </schema>
22    <mode>
23      <anyNamespace>
24        <allow/>
25      </anyNamespace>
26    </mode>
27    <context path="Choice">
28      <mode>
29        <namespace
30          ns="http://www.example.com/myExtenstionWithFallback">
31          <validate schema="myExtensionWithFallback.rng">
32            <mode>
33              <anyNamespace>
34                <attach/>
35              </anyNamespace>
36            </mode>
37          </validate>
38        </namespace>
39      </mode>
40    </context>
41  </validate>
42 </unwrap>
43 <mode>

```



```
1         <anyNamespace>
2             <allow/>
3         </anyNamespace>
4     </mode>
5     <context path="Fallback">
6         <mode>
7             <anyNamespace>
8                 <attach/>
9             </anyNamespace>
10        </mode>
11    </context>
12 </unwrap>
13 </namespace>
14 <namespace ns="http://www.example.com/myExtensionWithoutFallback">
15     <validate schema="myExtensionWithoutFallback.rng">
16         <mode>
17             <anyNamespace>
18                 <attach/>
19             </anyNamespace>
20        </mode>
21    </validate>
22 </namespace>
23 </mode>
24 </rules>
```

25 **End of informative text.**

1 Annex B. Bibliography

2 **This annex is informative.**

3 The following documents are useful references for implementers and users of this specification, in addition to
4 the normative references:

5 ISO/IEC 19757-4, Information technology - Document Schema Definition Languages (DSDL) - Part 4:
6 Namespace-based Validation Dispatching Language (NVDL).

7 ISO/IEC Directives Part 2, Rules for the structure and drafting of International Standards, Fourth edition, 2001,
8 ISBN 92-67-01070-0.

9 *Extensible Markup Language (XML) 1.0 (Fourth Edition)*, W3C Recommendation, 16 August 2006.

10 *Namespaces in XML 1.0 (Second Edition)*, W3C Recommendation, 16 August 2006.

11 RFC 3986 *Uniform Resource Identifier (URI): Generic Syntax*, *The Internet Society*, Berners-Lee, T., R. Fielding,
12 and L. Masinter, 2005, <http://www.rfc-editor.org>.

13 RFC 4234 *Augmented BNF for Syntax Specifications: ABNF*, *The Internet Society*, Crocker, D., P. Overell, 2005

14 *XML Base*, W3C Recommendation, 27 June 2001.

15 *XML Schema Part 1: Structures Second Edition*, W3C Recommendation, 28 October 2004.

16 *XML Schema Part 2: Datatypes Second Edition*, W3C Recommendation, 28 October 2004.

17 **End of informative text.**

1 Annex C. Index

2 This annex is informative.

3	4	alternate content	3, 10	32	markup editor	3
5	AlternateContent	12, 20		33	markup preprocessor	3, 10
6	attribute			34	markup producer	3, 9
7	compatibility-rule	12		35	markup specification	3, 9
8	Ignorable	See Ignorable		36	MustUnderstand.....	12, 18
9	MustUnderstand	See MustUnderstand		37	name	
10	PreserveAttributes	See PreserveAttributes		38	expanded	3
11	PreserveElements.....	See PreserveElements		39	local.....	3
12	ProcessContent	See ProcessContent		40	prefixed.....	3
13	Choice.....	12, 21		41	qualified	3
14	compatibility-rule attribute.....	3		42	unprefixed.....	3
15	element			43	namespace	
16	alternate content	19		44	current	28
17	AlternateContent	See AlternateContent		45	ignorable.....	3, 9
18	Choice.....	See Choice		46	obsolete	28
19	Fallback.....	See Fallback		47	understood	4, 9
20	extension element	26		48	namespace declaration	3
21	Fallback.....	12, 21		49	namespace name.....	3
22	IEC.. See International Electrotechnical Commission			50	namespace subsumption.....	24
23	Ignorable	11, 13		51	preserve	4, 9, 16
24	ignore	3, 9		52	PreserveAttributes.....	12, 18
25	International Electrotechnical Commission	6		53	PreserveElements	12, 16, 17
26	International Organization for Standardization	6		54	ProcessContent.....	11, 15
27	ISO	See International Organization for		55	recognize.....	4, 9
28	Standardization			56	subsume.....	10
29	ISO/IEC 10646.....	2		57	subsumption	24
30	markup consumer	3, 9		58	W3C	See World Wide Web Consortium
31	markup document.....	3, 9		59	World Wide Web Consortium	6

60

61 End of informative text.